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Seffor PATENT (#

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : Kuansan Wang

Appln. No.: 09/960,229

Filed

: September 20, 2001

For

: SERVERS FOR WEB ENABLED SPEECH

RECOGNITION

Docket No.: M61.12-0391

Group Art Unit:2162

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)

Box Missing Parts

Commissioner for Patents Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, THIS

PATENT ATTORNEY

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Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted dated October 24, 2001, Applicant encloses the following documents to complete the above-identified patent application filed September 20, 2001:

- 1. PTO-2038 in the amount of \$980.00 (to cover the filing fee \$740.00, surcharge fee of \$130.00 and extension of time fee of \$110.00).
- Combined Declaration and Power of Attorney executed by the inventor(s).
- 3. Fee Calculation Sheet (duplicate).
- 4. Notice to File Missing Parts of Application-Filing Date Granted.
- Letter to Official Draftsperson.

Director is authorized to charge payment The any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123.

> Respectfully submitted, WESTMAN, CHAMPLIN & KELLY, P.A.

By:

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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 09/20/2001 09/960,229 Kuansan Wang

OCT 2 9 2001

M61.12-0391

CONFIRMATION NO. 5871

FORMALITIES LETTER

WESTMAN, CHAMPLIN & KELLY A PROFESSIONAL ASSOCIATION INTERNATIONAL CENTRE, SUITE 1600 900 SECOND AVENUE SOUTH **MINNEAPOLIS, MN 55402-3319**

Date Mailed: 10/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE